

# Lec20-subset-sum-knapsack

Tuesday, October 24, 2023 9:29 PM

Recall: Dynamic programming is like divide-and-conquer in that you build up big solutions from smaller ones, but is more general/powerful in that small solutions might be used many times.

generally applied to problems where brute-force would be exponential.

Subset Sum KT 6.4 (special easy case of knapsack problem)

Given integer bound  $W$ , and  $n$  items with <sup>integer</sup> weight  $w_i \in \mathbb{N}$

find a subset  $S$  of items that maximizes  $\sum_{i \in S} w_i$  while keeping  $\sum_{i \in S} w_i \leq W$ .

Motivation: fully schedule a piece of equipment so least downtime.  
e.g. CPU or mass spectrometer.

Aside: the integer weights is very important here.

Let  $S^*$  be an optimal choice of items.

Let  $OPT(n, W)$  be the value of the optimal solution.  $OPT(n, W) = \sum_{i \in S^*} w_i$

↳ compute this first, then keep info needed to reconstruct  $S^*$

Subproblems: Compute  $OPT(j, w)$  for optimal value with a knapsack of size  $w \leq W$  and the first  $j$  items.

Recurrence:

$$OPT(j, w) = \max \begin{cases} OPT(j-1, w) \\ w_j + OPT(j-1, w-w_j) \end{cases}$$

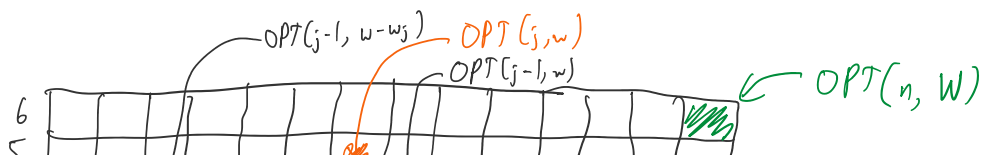
unknown at computation time, so  
if  $j \in S^*$  try both  
if  $j \notin S^*$  using max

Base case:  $OPT(0, W) = 0$  if no items, 0

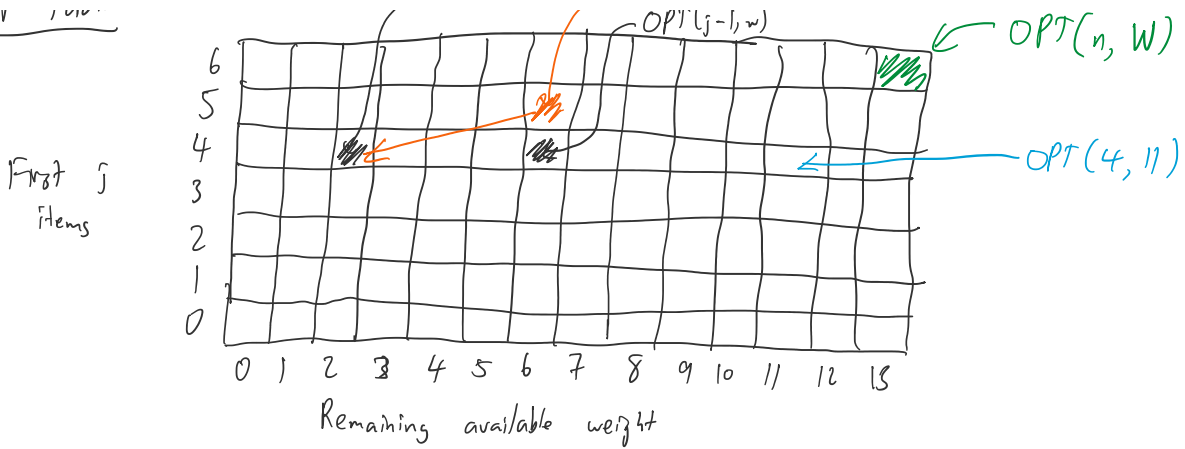
$OPT(j, 0) = 0$  if no space, 0

Also,  $OPT(j, [\text{negative number}]) = 0$

DP Table:



x v w



Have to look at two entries in the row below

Pseudocode:

Subset Sum  $(n, W)$ :

$$M[0, w] = 0 \quad \forall w \in 0, \dots, W$$

$$M[j, 0] = 0 \quad \forall j \in 1, \dots, n$$

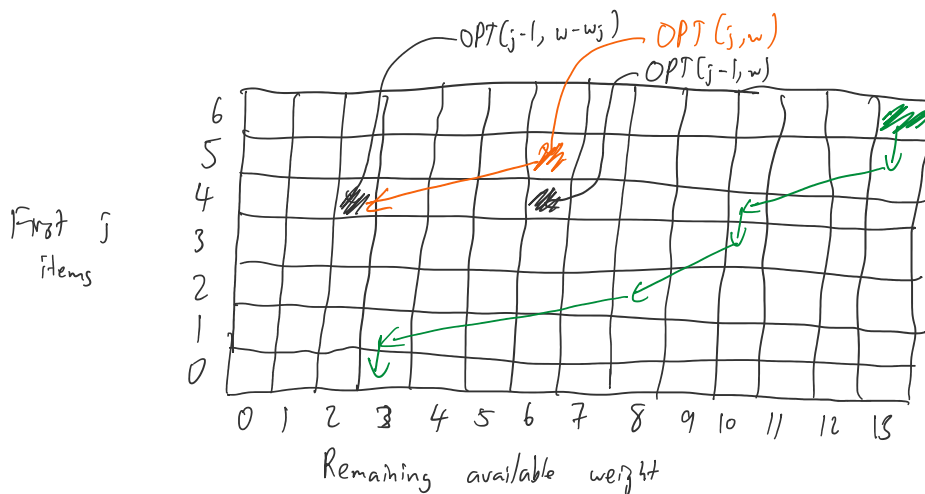
Define  $M[j, r] = 0$  for all  $r < 0$ .

For  $j \in 1, \dots, n$ :

For  $w \in 0, \dots, W$ :

$$M[j, w] = \max \left\{ \begin{array}{l} M[j-1, w] \\ w_j + M[j-1, w - w_j] \end{array} \right\}$$

Als. keep track of which entry was the max so we can reconstruct  $S^*$  at end.



Path implies items 2, 3, 5

$nW$  cells in matrix.  
For each cell takes  $O(1)$  time to fill.

$nW$  cells in matrix.  
 Each cell takes  $O(1)$  time to fill.  
 $O(n)$  time to follow path backwards

$\Rightarrow O(nW + n) = O(nW)$  total running time  
 ↑ pseudopolynomial because proportional to size of input numbers, and not just the number of items.

### General principles:

- Opt can be computed easily from subproblems.
- Only poly number of subproblems
- "Natural" orderings of problems from small to large, such that to answer large problem, need only small sols.

### Knapsack problem

- A bound  $W$ .
- Collection of  $n$  items, each with weight  $w_i$ , and a value  $v_i$ .

Find subset  $S$  that

maximizes  $\sum_{i \in S} v_i$  while keeping  $\sum_{i \in S} w_i \leq W$ .

want to maximize value instead of weight, as in subset sum

### Greedy doesn't work

Idea Sort by value per weight  $p_i = \frac{v_i}{w_i}$ , and pick the highest value density.

1 lb bottle of ambrosia, \$30	$p_1 = \$30 / 1b$
2 lb jade paperweight, \$40	$p_2 = \$20 / 1b$
3 lb salt, \$45	$p_3 = \$15 / 1b$
4 lb iron crowbar, \$100	$p_4 = \$25 / 1b$

### 6 lb carrying capacity knapsack

Result: 2 ambrosia crowbar. ~~inde~~ Total value: \$130 ? problem is

6 lb carrying capacity Knapsack

Greedy: ambrosia, crowbar, ~~jade~~  
1lb            4lb            2lb

Total value: \$130

Better: crowbar, jade

Total value: \$140

} problem is  
can't divide  
objects

DP solution:

$$OPT(j, W) = \max \begin{cases} OPT(j-1, W) \\ \underline{\underline{v_j + OPT(j-1, W - w_j)}} \end{cases}$$

if  $j \notin S^*$   
if  $j \in S^*$