

What does it mean for an algorithm to be fast?

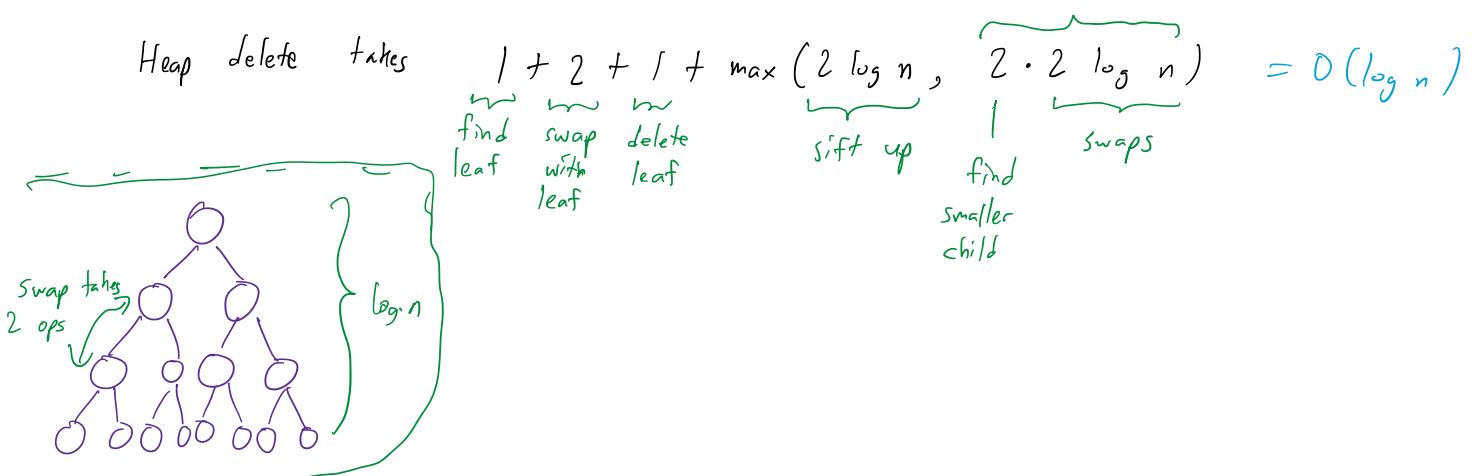
Who's a faster runner? Usain Bolt - 100m in 9.58s, Berlin (2009)

Eliud Kipchoge - 26.2 miles in 2:01:09, Berlin (2022)

- Need a formal definition of algorithmic efficiency to avoid vagueness
- Something concrete and falsifiable
- We care about scaling to large problems  
Small problems don't take too long even for inefficient algs

Proposal: count the exact number of operations (done by a computer)

Ex. Heap insert takes  $1 + \underbrace{2}_{\substack{\text{find} \\ \text{leaf}}} \underbrace{\log n}_{\substack{\text{swap} \\ \text{2ptr}}} = O(\log n)$



As  $n \rightarrow \infty$ , for large problems,  $\log n$  grows, but 4 doesn't, so runtime of above is dominated by  $\log n$  term

Instead of  $n^2 + 4n + 2$ , we just write  $O(n^2)$

Def. ( $O$ ) A runtime  $T(n)$  is  $O[f(n)]$  if  $\exists$  constants  $n_0 \geq 0$ ,  $c > 0$  s.t.  
(upper bound)

$T(n) \leq c f(n)$  for all  $n \geq n_0$

running time is bounded by  $f(n)$  for all large enough instances

running time is bounded by  
a constant multiple of  $f(n)$  for all large enough instances

$O(\cdot)$  upper bounds the runtime

Def. ( $\Omega$ )  $T(n)$  is  $\Omega(f(n))$  if  $\exists$  constants  $n_0 \geq 0$ ,  $\varepsilon > 0$  s.t.  
(lower bound)  $T(n) \geq \varepsilon f(n) \quad \forall n \geq n_0$

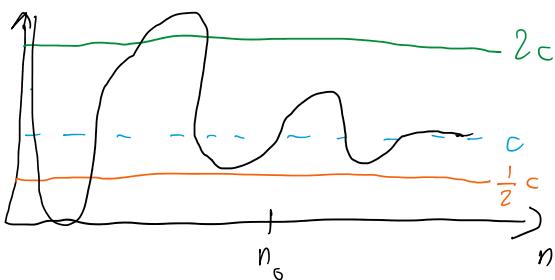
$\Omega(\cdot)$  lower bounds the runtime.

Def. ( $\Theta$ )  $T(n)$  is  $\Theta(f(n))$  iff  $T(n)$  is  $O(f(n))$  and  $\Omega(f(n))$   
(tight bound) Normally we are referring to worst-case example. Sometimes will be faster, but there are cases where it takes this long, but no longer.

### Asymptotic limit

Thm (Theta) If  $\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} = c$  for constant  $c > 0$ , then  $T(n) = \Theta(g(n))$ .

proof.  $\exists n_0$  s.t.  $\frac{c}{2} \leq \frac{T(n)}{g(n)} \leq 2c$  for all  $n \geq n_0$  by def. of limit.



Therefore  $T(n) \leq 2c g(n)$  for  $n \geq n_0 \Rightarrow T(n) = O(g(n))$

Also  $T(n) \geq \frac{c}{2} g(n)$  for  $n \geq n_0 \Rightarrow T(n) = \Omega(g(n))$



Linear time  $O(n)$

- Find maximum in list  $A$ .

$$\max = A[1]$$

for  $i=2$  to  $n$ :

if  $A[i] > \max$ , then

takes  $n$  iterations for  $n$  items,  
constant # opr per iteration

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for i=1 to n
if A[i] > max, then
    set max = A[i]

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} takes  $n$  iterations, two in memory  
constant # opr per iteration

- merging two sorted lists  $A_1, A_2$  - each time, compare bottom item of both lists + take min.

- Finding connected components of forest (graph made up of trees)

- Let  $C = \{s\}$ , for an arbitrary node  $s$ .

- Let  $Q = \text{list of all edges adj to } s$ .

- Repeat until  $Q = \emptyset$ :

  - Let  $e = \{x, y\}$  be the first edge in  $Q$ .

  - Remove  $e$  from  $Q$  (dequeue)

  - WLOG,  $x \in C$ ,  $y \notin C$ .

  - $C = C \cup \{y\}$

  - $Q = Q + [\text{list of edges adj to } y \text{ except } e]$

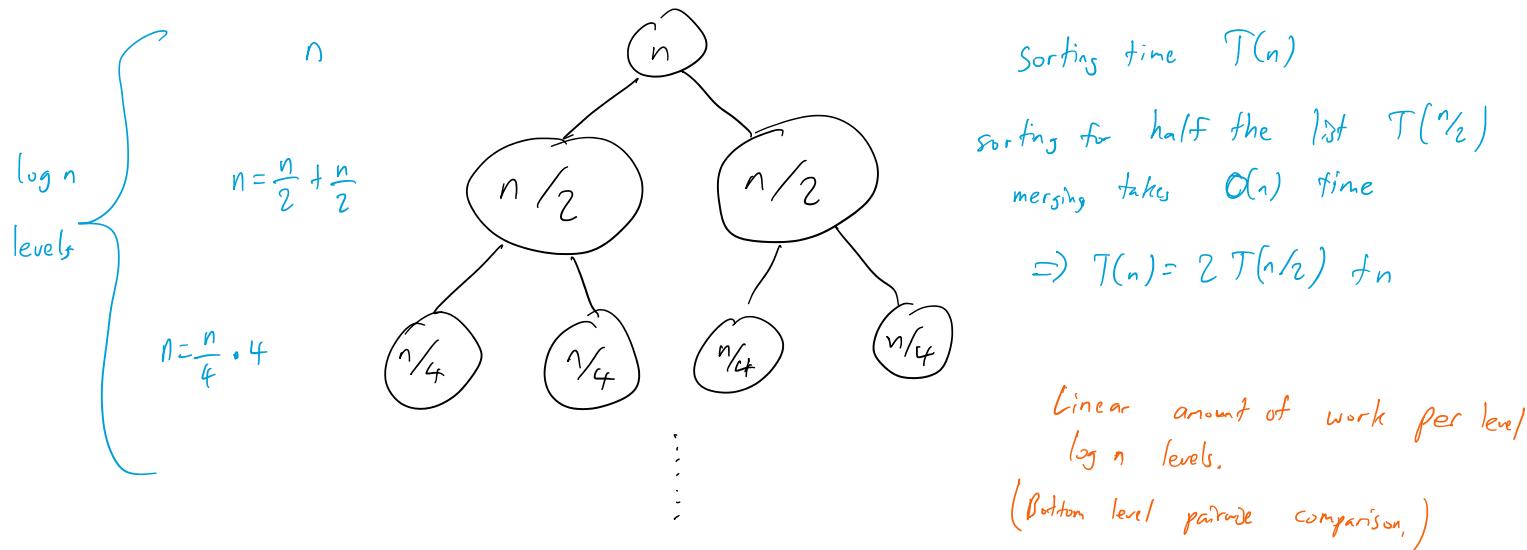
$$G = (V, E)$$

$$|V| = n$$

$|E| = m$  but  
 $m < n$  because  
forest

} every node/edge gets  
added only once  
because no cycles  
 $\Rightarrow O(n)$

$O(n \log n)$  time - common in sorting. Why?



$O(n^2)$  time - quadratic time

Ex. What is the smallest distance b/t a pair of pts? (brute force)

$$\begin{array}{ccccc} & \textcircled{0} & & \textcircled{0} & \\ & & \textcircled{0} & & \\ & \textcircled{0} & & \textcircled{0} & \\ & & \textcircled{0} & \textcircled{0} & \\ & & & \textcircled{0} & \\ \hline \end{array}$$

$\binom{n}{2} = \frac{n(n-1)}{2} = O(n^2)$  pairs  
 $\#$  pairs

$O(n^3)$  time - cubic time

Ex. Matrix multiplication (school book)

$$\text{row } i \left[ \begin{matrix} a_{i1} & a_{i2} & \dots & a_{in} \end{matrix} \right] \left[ \begin{matrix} \text{col } j \\ b_{1j} \\ b_{2j} \\ \vdots \\ b_{nj} \end{matrix} \right] = \left[ \begin{matrix} c_{ij} \end{matrix} \right]$$

$A \in \mathbb{R}^{n^2}$        $B \in \mathbb{R}^{n^2}$        $C = \mathbb{R}^{n^2}$

$$C_{ij} = \sum_{k=1}^n a_{ik} b_{kj} = n \text{ multiplications per entry}$$

$n^2$  entries

$$\Rightarrow O(n^3)$$

$O(n^k)$  - larger polynomials e.g. k-nested for loops

Ex. Independent set of size  $k$ . — no two nodes are adjacent

Given graph with  $n$  nodes, find one or say none exist

For every subset  $S$  of  $k$  nodes  $\{O(n^k)$  subsets

If  $S$  is an independent set,  
return  $S$ .

return failure

$$\binom{n}{k}$$

$\uparrow$   
 $k^2$

$O(2^n)$  - Exponential time

Ex. Largest independent set in graph

There are  $2^n$  subsets to check via brute force

Ex. Largest independent set in graph

There are  $2^n$  subsets to check via brute force.

-  $\mathcal{O}(n^2 2^n)$

$n^2$        $2^n$   
    each subset

    checking if any edge b/t pairs of nodes

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Sublinear time

↳ don't even need to look at all inputs

↳ must be able to query points w/o reading through

Ex. binary search on sorted list  $\mathcal{O}(\log n)$