

# Lec21-subset-sum-knapsack

Tuesday, October 22, 2024 3:09 PM

Recall: Dynamic programming is like divide-and-conquer in that you build up big solutions from smaller ones, but is more general/powerful in that small solutions might be used many times.

generally applied in problems where brute-force would be exponential.

## Bellman-Ford shortest path

Let  $OPT(v, i) = \text{min-cost of } s \rightarrow v \text{ path using } \leq i \text{ edges}$

Can recursively define  $OPT(v, i)$ :

1. If best  $s \rightarrow v$  path uses at most  $i-1$  edges, then

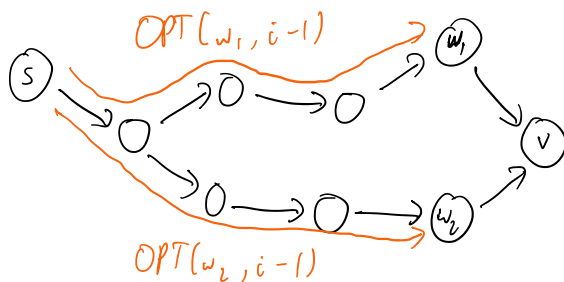
$$OPT(v, i) = OPT(v, i-1)$$

2. If best  $s \rightarrow v$  path uses  $i$  edges, and the last edge is  $(w, v)$ ,

then

$$OPT(v, i) = d(w, v) + OPT(w, i-1)$$

← similar to Ford update rule.



Let  $N(w)$  be neighbors of  $w$ .

### Recurrence

$$OPT(v, i) = \min \left\{ \begin{array}{l} OPT(v, i-1) \\ \min_{w \in N(v)} [OPT(w, i-1) + d(w, v)] \end{array} \right\}$$

}  $OPT(v, x)$  only depends on  $OPT(w, y)$  for  $y < x$

Base case:  $OPT(v, 1) = d(s, v)$  or  $\infty$  if  $(s, v)$  does not exist

Goal: compute  $OPT(t, n-1)$

$|V|$  nodes

$|V|-1$  - shortest path

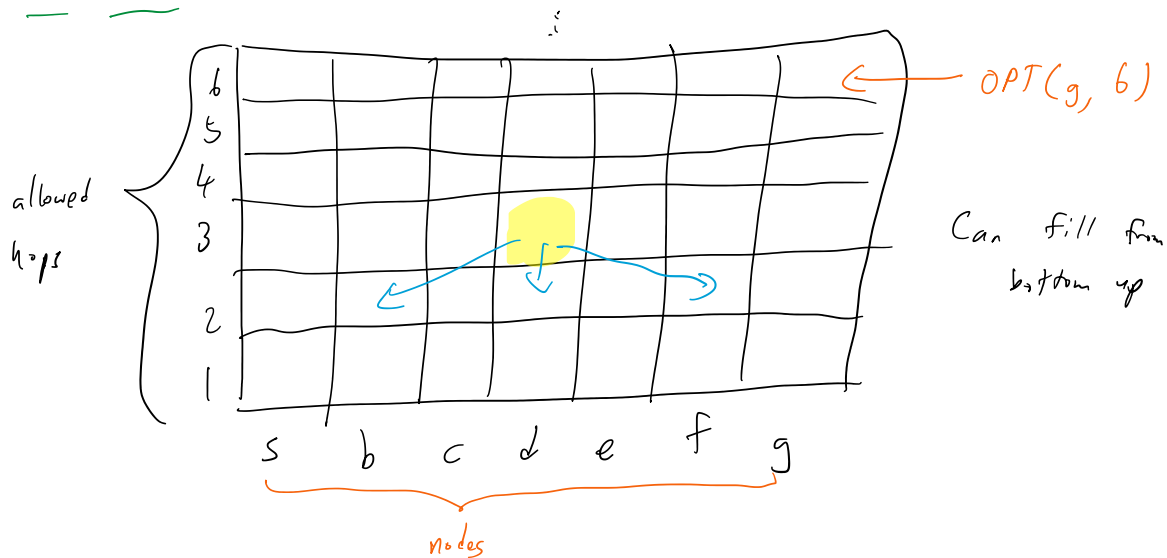
Goal: compute  $OPT(t, n-1)$

Only  $|V| \times (|V|-1)$  possible arguments for  $OPT(\cdot, \cdot)$

$|V|$  nodes  
↓

$|V|-1$  allowed hops  
↓

Encode as matrix



Naive runtime:  $O(|V|^2)$  subproblems

$O(|V|)$  time to compute each entry in table  
(have to search all possible neighbors)

$\Rightarrow O(|V|^3)$  time.

Better analysis: Let  $n_v$  be # in-edges of  $V$ .

Actually only  $O(n_v)$  time to compute entry for  $v$ .

Total time =  $O(|V| \sum_{v \in V} n_v) = O(|V| |E|)$ .

in each row only  $O(|E|)$  computations

Since just need to check each in-edge once.

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Subset Sum KT 6.4 (special easy case of knapsack problem)

Given integer bound  $W$ , and  $n$  items with integer weight  $w_i \in \mathbb{N}$

find a subset  $S$  of items that maximizes  $\sum_{i \in S} w_i$  while keeping  $\sum_{i \in S} w_i \leq W$ .

Motivation fully schedule a piece of equipment so least downtime  
e.g. CPU or mass-spectrometer

Aside: integer weights very important here

Let  $S^*$  be an optimal choice of items.

Let  $OPT(n, W)$  be the value of the optimal solution  $OPT(n, W) = \sum_{i \in S^*} w_i$

compute this first, then keep info needed to reconstruct  $S^*$ .

Subproblems: Compute  $OPT(j, w)$  for optimal value with a knapsack of size  $w \leq W$  and the first  $j$  items.

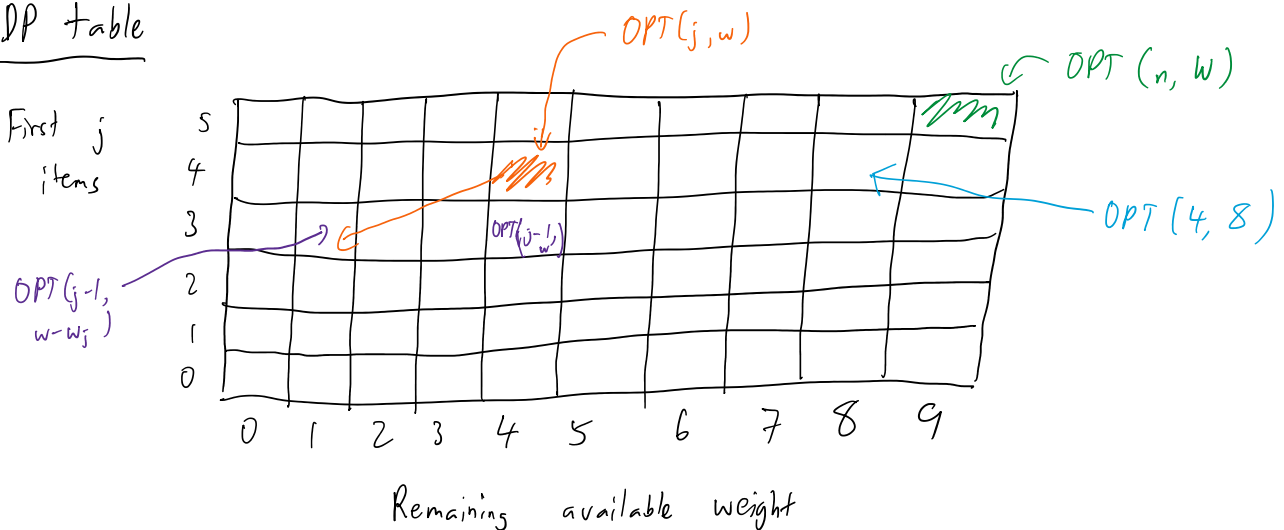
Recurrence:

$$OPT(j, w) = \max \begin{cases} OPT(j-1, w) \\ v_j + OPT(j-1, w-w_j) \end{cases}$$

unknown at computation time, so  
if  $j \notin S^*$  try both using max  
if  $j \in S^*$

Base case:  $OPT(0, W) = 0$  if no items, 0  
 $OPT(j, 0) = 0$  if no space, 0

DP table



Have to look at two entries in the row below

Pseudocode:

Subset Sum ( $n, W$ ):

$$M[0, w] = 0 \quad \forall w \in 0, \dots, W$$

$$M[j, 0] = 0 \quad \forall j \in 1, \dots, n$$

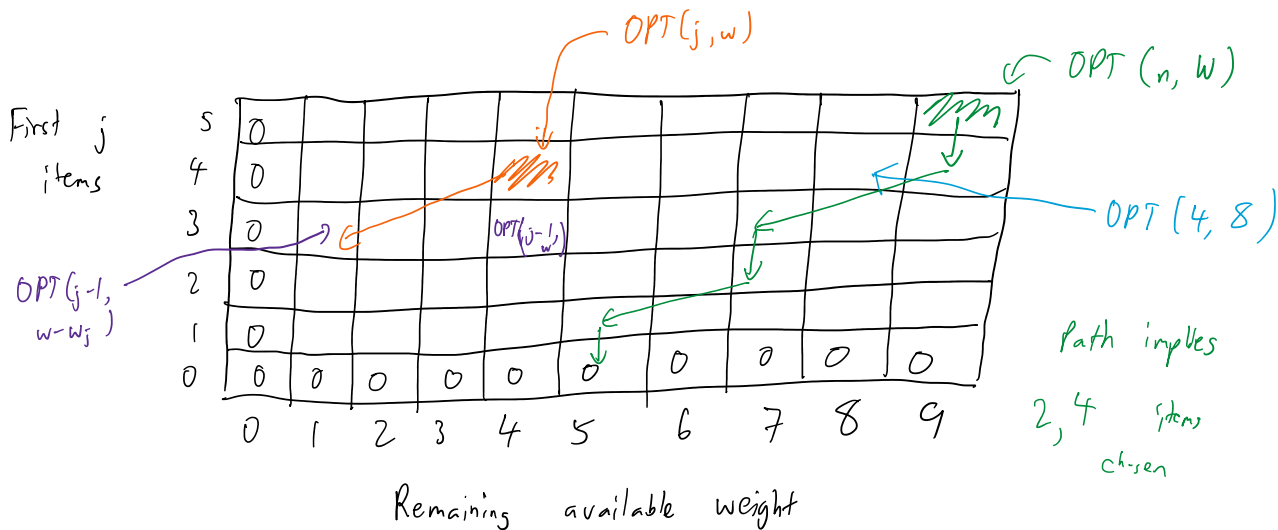
$$\text{Define } M[j, r] = 0 \quad \forall r < 0$$

For  $j \in 1, \dots, n$ :

For  $w \in 0, \dots, W$ :

$$M[j, w] = \max \begin{cases} M[j-1, w] \\ w_j + M[j-1, w-w_j] \end{cases}$$

also keep track of which entry was the max so we can reconstruct  $S^*$  at the end.



$nW$  cells in matrix

Each cell takes  $O(1)$  time to fill.

$O(n)$  time time to follow path backwards

$$\Rightarrow O(nW + n) = O(nW) \text{ total running time.}$$

General principles:

- Opt can be easily computed from subproblems
- Only poly number of subproblems.
- "Natural" ordering of problems from small to large, such that to answer large problem, need only small sols.

### Knapsack problem

- A bound  $W$
- collection of  $n$  items, each with weight  $w_i$ , and a value  $v_i$ .

Find a subset  $S$  that

maximizes  $\sum_{i \in S} v_i$  while keeping  $\sum_{i \in S} w_i \leq W$ .

want to maximize value instead of weight, as in subset sum.

### Greedy doesn't work

Idea Sort by value per weight  $p_i = \frac{v_i}{w_i}$ , and pick highest value density.

1 lb bottle of ambrosia, \$30	$p_1 = \$30 / 1b$
2 lb jade paperweight, \$40	$p_2 = \$20 / 1b$
3 lb salt, \$45	$p_3 = \$15 / 1b$
4 lb iron crowbar, \$100	$p_4 = \$25 / 1b$

6 lb carrying capacity knapsack.

Greedy ambrosia, crowbar, ~~jade~~  
1b 4lb 2lb

Total value: \$130

Better crowbar, jade

Total value: \$140

} problem is can't divide objects.

DP solution:  $OPT(i, W) = \max \left\{ \begin{array}{l} OPT(i-1, W) \\ \text{if } j \in S^* \end{array} \right.$

DP solution:

$$OPT(j, w) = \max \begin{cases} OPT(j-1, w) \\ v_j + OPT(j-1, w - w_j) \end{cases}$$

if  $j \notin S^*$   
if  $j \in S^*$