Lec26-NP-hardness

Friday, November 22, 2024 12:14 PM

ST 8.1, 8.2, 8.3, 8.4

Recap: Last time we defined P and NP.

Today: NP-hard and NP-complete problems and the concept of reduction.

Reminder: Decision vs. Optimization problems

Decision: output answer that satisfies/violates an objective function.

Optimization: output answer that satisfies objective function and optimizes (minimizes or maximizes) the objective function.

Ex: Shortest path problem: Given a graph G, two vertices s and t, and a number k, is there a path from s to t of length at most k?

Optimization: Find the shortest path from s to t in G.

Ex: Max-Flow

Reminder: Decision vs. Optimization problems

Ex: 3-SAT asks whether a formula is satisfiable, but it's not clear how to find a satisfying assignment.

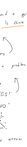
Problem (3-SAT): Given a number n and a graph G with weights on the edges, is there a tour of G that visits each vertex exactly once and has total weight less than C?

Problem (NOT-Decision): Given a number n and a graph G with weights on the edges, is there a tour of G that visits each vertex exactly once and has total weight less than C?

Optimization: Find the shortest tour of G that visits each vertex exactly once.

Problem: A decision problem is a general class of problems. Instance: A particular set of input data for a problem.

e.g. $C=5$



Yes-Instance: An instance with answer "YES!"

No-Instance: An instance with answer "NO."

$C=2$



Recall: Informally, P is the collection of all decision problems that can be solved in polynomial time.

Def: The class P is set of problems.

A problem $Q \in P$ if:

- $\exists$ algorithm A_Q and $k \in \mathbb{N}$ such that $\forall$ instance I of Q, $A_Q(I)$ runs in time $O(|I|^k)$ steps, and
- if I is a YES-instance, $A_Q(I) = YES$
- if I is a NO-instance, $A_Q(I) = NO$

Key idea: Polynomial time = efficient = tractable

If you can't even write it down in polynomial time, it's probably not going to be solvable in a reasonable amount of time.

Def: The class NP is set of problems.

A problem $Q \in NP$ if $\exists$ a Non-deterministic algorithm A_Q and $k \in \mathbb{N}$ such that $\forall$ YES-instance I of Q, $\exists$ a witness W of I such that $A_Q(I, W) = YES$ and A_Q runs in polynomial time.

Key idea: NO instance = polynomial time verification of a YES-instance (you cannot fool Turing machine)

Def: If A_Q runs in $O(|I|^k)$ steps, we say A_Q runs in polynomial time.

Def: (Informally) Verifier

Given instance I_Q of Q and a number C, it takes a string W (called a witness or certificate) and checks that W has length at most C and $W \in C$.

If someone tells you a result, it's easy to check if it provides a solution that makes this a YES-instance.

Ex: (Independent Set Revisited)

Given graph G, $3 \leq k \leq |V(G)|$, is there a set of k vertices such that no two of them are connected by an edge?

Finding set is hard. (how?)

Checking a set of k given vertices is easy.

If I give you a candidate that $|S| \geq k$ is a YES-instance.

Def: NP is set of problems Q for which

- $\exists$ algorithm A_Q
- $\forall$ instance I of Q,
  - if I is yes-instance, $\exists$ witness W such that $A_Q(I, W) = YES$
  - if I is no-instance, $A_Q(I, W) = NO$ $\forall W$.
- and $A_Q(I, W)$ runs in $poly(|I|)$ time

Key idea: NO instance = polynomial time verification of a YES-instance (efficient certificate)

Counterintuitive phrasing!

NP = set of problems with efficient certificates

P = set of problems with efficient certificates (optimized) that ignore the certificate

The P vs NP Question

Recall: $P \subseteq NP$

Does $P = NP$?

Does there exist a problem in NP that is NOT in P?

It seems a solution actually made them easier. Finding a solution is clearly harder.

We can think of many, but each problem we have is in P or in NP.

Open Question: $P \stackrel{?}{=} NP$

Know: $P \subseteq NP$

Recall: Suppose X, Y are problems. Write $Y \leq_P X$ if $\exists$ a polynomial time algorithm A that solves Y using a subroutine for X.

To show $Y \leq_P X$:

1. Describe algorithm A for Y that uses a subroutine for X.
2. Show A runs in polynomial time.

Reducing to find the hardest

We need to say some problems are hard. Know how they relate. $X \leq_P Y$ if X is not harder than Y.

Def: Suppose we have a problem X. We say X is NP-hard if $\forall Y \in NP$, $Y \leq_P X$.

Suppose we have shown that some instance of Y using only steps & reduces to some instance of X.

Then we can solve Y using only steps & reduces to X.

If Y can be reduced to X, $Y \leq_P X$

Y is polynomial reducible to X

$\Rightarrow X$ is at least as hard as Y because if you can solve X, you can solve Y.

Note: solving the problem we need to solve is harder

Examples:

- Max Bipartite Matching $\leq_P$ Max Network Flow
- Interval Segmentation $\leq_P$ Min-Cost Max Network Flow $\leq_P$ Linear Programming

Conclusion of Hierarchy of Hard Problems

- Circulation $\leq_P$ Max Flow
- Circulation $\leq_P$ Min-Cost Flow

If 2SAT can be reduced to TSP, $2SAT \leq_P TSP$

$\Rightarrow$ if we can solve TSP, we can solve 2SAT

Suppose $Y \leq_P X$ and $X \leq_P Z$. Then $Y \leq_P Z$.

Suppose $Y \leq_P X$ and $Y \leq_P Z$. Then Y may/may not $\leq_P$ for X.

Why?

Polynomials compose

will stop on polytime

Thm: If $Y \leq_P X$ and Y CANNOT be solved in poly-time, then X cannot be solved in poly-time.

Proof: Suppose we have A_X that solves X in poly-time. Then we solve Y in X using α solution to X in poly-time, a contradiction.

So if we can find a hard problem Y, can show a bunch of other problems are hard by reducing Y to those other problems.

Def: A problem X is NP-hard if $\forall Y \in NP$, $Y \leq_P X$.

To show X is NP-hard, need $\forall$ $X \leq_P Z$, the Z is NP-hard.

Idea: If X is NP-hard, can use X to solve any problem in NP.

Def: X is NP-complete if
- $X \in NP$
- X is NP-hard

X is the set of solve every other problem in NP

Thm: If X is NP-complete, then X is solvable in poly-time iff $P = NP$.

Proof: If $P = NP$, then $X \in NP = P$, so X is solvable in poly-time.

Suppose $X \in P$. Then $\forall Y \in NP$, $Y \leq_P X$, so we can solve Y by solving it in polytime by X, which we can also solve in poly-time. So we can solve Y in poly-time $\Rightarrow Y \in P$, so $P = NP$.

Thm: If X is NP-complete, $X \in NP$, and $Y \leq_P X$, then X is NP-complete.

Proof: Let $Z \in NP$. Since Y is NP-complete, $Z \leq_P Y \leq_P X$